

00862.022001



IFW

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
YASUHIRO KOMORI ET AL.) : Examiner: D. Nolan
Application No.: 09/661,394) : Group Art Unit: 2654
Filed: September 13, 2000) :
For: ENVIRONMENT ADAPTATION FOR) :
SPEECH RECOGNITION IN A) :
SPEECH COMMUNICATION SYSTEM) December 17, 2004

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

THIRD INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed foreign documents are enclosed.

The listed documents were cited in a Japanese Office Action issued by the Japanese Patent Office in a corresponding foreign application. A copy of the Office Action is also enclosed.

U.S. Patent No. 5,802,251 is a U.S. counterpart to Japanese Laid-open Patent Application No. 7-210190.

U.S. Patent Nos. 5,956,683 and 6,594,628 are U.S. counterparts to Japanese Laid-open Patent Application No. 9-507105.

U.S. Patent No. 5,953,700 is a U.S. counterpart to Japanese Laid-open Patent Application No. 11-38992.

U.S. Patent No. 6,522,725 is a U.S. counterpart to Japanese Laid-open Patent Application No. 11-175091.

Abstracts for Japanese Laid-open Patent Application Nos. 7-175495, 7-210190, 9-507105, 11-38992, 11-175091 and 11-205451, obtained from a commercial database, are enclosed for the Examiner's convenience.

Applicants wish to submit the following remarks.

Regarding Japanese Laid-open Patent Application No. 11-205451:

Japanese Laid-open Patent Application No. 11-205451 discloses adapting a recognition environment using voice data transmitted from a client and caller information stored on a server.

However, Japanese Laid-open Patent Application No. 11-205451 does not teach or suggest creating a model for environment adaptation for speech recognition at the side of a client, which is a feature of Applicants' invention.

Regarding Japanese Laid-open Patent Application No. 7-175495:

Japanese Laid-open Patent Application No. 7-175495 discloses a client-server system in which the client transmits a voice characteristic parameter to the server and the server creates a sound element standard pattern based on the voice characteristic parameter for converting voice signals to text data.

However, Japanese Laid-open Patent Application No. 7-175495 does not teach or suggest creating a model for environment adaptation for speech recognition at the side of a client, which is a feature of Applicants' invention.

STATEMENT UNDER 37 C.F.R. § 1.97(e)

Each item of information in this Third Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing date of this Statement.

FORMAL MATTERS

In accordance with 37 C.F.R § 1.97(c), no fee is due with the filing of this Third Information Disclosure Statement.

CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that an initialed copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our below-listed address.

Respectfully submitted,

A handwritten signature in cursive script, reading "Douglas W. Pinsky", is written over a horizontal line.

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FORM PTO 1449 (modified)			ATTY DOCKET NO. 00862.022001		APPLICATION NO. 09/661,394	
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) December 17, 2004			APPLICANT YASUHIRO KOMORI ET AL.			
			FILING DATE September 13, 2000		GROUP 2654	
U.S. PATENT DOCUMENTS						
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	FILING DATE IF APPROPRIATE
		5,802,251	09/1998	Cohen et al.		
		5,956,683	09/1999	Jacobs et al.		
		5,953,700	09/1999	Kanevsky et al.		
		6,522,725 B2	02/2003	Kato		
		6,594,628 B1	07/2003	Jacobs et al.		
FOREIGN PATENT DOCUMENTS						
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	TRANSLATION YES/NO/ OR ABSTRACT
		JP 7-175495	07/1995	Japan		Abstract
		JP 7-210190	08/1995	Japan		Abstract*
		JP 9-507105	07/1997	Japan		Abstract*
		JP 11-38992	02/1999	Japan		Abstract*
		JP 11-175091	07/1999	Japan		Abstract*
		JP 11-205451	07/1999	Japan		Abstract
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)						
*Note: U.S. counterpart patents also cited (see text of IDS).						
EXAMINER				DATE CONSIDERED		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicants.

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